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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:** Carriere, et al.

**Docket No.:** QUAC0006

**Serial No. :** 09/531,743

**Art Unit:** 3627

**Filed:** 3/21/2000

**Examiner:** McClellan, James

**Title:** System and Method for Funneling User Responses In An Internet Voice Portal System To Determine a Desired Item or Service

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or  
(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last) .
- ☒ under 37 CFR 1.97(c) together with either a:  
☒ Certification under 37 CFR 1.97(e), or  
☐ a \$220.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:  
☐ Certification under 37 CFR 1.97(e), and  
☐ a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and  
☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

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☒ The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. QUAC0006 ). A copy of this sheet is enclosed for accounting purposes.

☒ Applicant(s) submit herewith Form PTO 1449 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,



Kirk D. Wong

Attorney For Applicant

Reg. No. 43,284

Customer No. 22862

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATION FOR  
INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§1.97(e)**

Dear Sir:

A certification is being made for the Information Disclosure Statement accompanying this certification.

I, the person signing below, certify:

☒ that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. (37 CFR 1.97(e)(1))

☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR 1.97(e)(2).

The person making this certification is the attorney who signs below on the basis of the information:

- |                                     |  |
|-------------------------------------|--|
| <input type="checkbox"/>            | supplied by the inventor(s)                      |
| <input type="checkbox"/>            | supplied by an individual designated in §1.56(c) |
| <input checked="" type="checkbox"/> | in the attorney's file                           |

the attorney who signs below on the basis on the information in the attorney's files.

Respectfully submitted,

  
Kirk D. Wong

Reg. No. 43,284

Customer Number 22862

